



Annexure - III To Directors Reports

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED ON 31.03.2016

Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS :

i	CIN	L27109UP1985PLC006998
ii	Registration Date	02-04-1985
iii	Name of the Company	PMC FINCORP LIMITED
iv	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES
v	Address of the Registered office & Contact details	B-10, VIP COLONY, CIVIL LINES, RAMPUR-244901, UTTAR PRADESH
vi	Whether Listed Company	BSE LIMITED
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	INDUS PORTFOLIO PRIVATE LIMITED G-65, BALI NAGAR, NEW DELHI-110005 PHONE: 011 - 47671214 EMAIL ID: bharatb@indusinvest.com

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Company is engaged in to the activity of financing and registered as a Non Banking Financial Company with the Reserve Bank of India.

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associates	% of Shares held	Applicable Section
N.A.					

IV SHAREHOLDING PATTERN (Equity Share Capital Breakup as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2015				No. of Shares held at the end of the year 31.03.2016				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	7314300	—	7314300	3.01	14628600	—	14628600	3.01	—
b) Central Govt. or State Govt.	—	—	—	—	—	—	—	—	—
c) Bodies Corporates	33068500	—	33068500	13.59	66137000	—	66137000	13.59	—
d) Bank/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUB TOTAL:(A) (1)	40382800	—	40382800	16.60	80765600	—	80765600	16.60	—



Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2015				No. of Shares held at the end of the year 31.03.2016				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(2) Foreign									
a) NRI- Individuals	—	—	—	—	—	—	—	—	—
b) Other Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUB TOTAL (A) (2)	—	—	—	—	—	—	—	—	—
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)"	40382800	—	40382800	16.60	80765600	—	80765600	16.60	—
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	—	—	—	—	—	—	—	—	—
b) Banks/FI	—	—	—	—	—	—	—	—	—
c) Cenntal govt	—	—	—	—	—	—	—	—	—
d) State Govt.	—	—	—	—	—	—	—	—	—
e) Venture Capital Fund	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	—	—	—	—	—	—	—	—
g) Foreign Institutional Investors	477350	—	477350	0.20	—	—	—	—	-0.20
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
SUB TOTAL (B)(1):	477350	—	477350	0.20	—	—	—	—	-0.20
(2) Non Institutions									
a) Bodies corporates	136352104	8560000	144912104	59.56	18725311	—	18725311	3.85	-55.71
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital upto Rs. 1 lakhs	8830215	1089300	9919515	4.08	209282880	1938600	211221480	43.41	39.33
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	40747389	1620000	42367389	17.42	135482889	3240000	138722889	28.51	11.09
c) Others-NRI	9173	—	9173	—	26042753	—	26042753	5.35	5.35
(c-i) Clearing Member	951099	—	951099	0.39	2778487	—	2778487	0.57	0.18
(c-ii) Clearing House	4261170	—	4261170	1.75	8304680	—	8304680	1.71	0.04
(c-iii) Trust	—	—	—	—	—	—	—	—	—
SUB TOTAL (B)(2):	191151150	11269300	202420450	83.20	400617000	5178600	405795600	83.40	0.20
Total Public Shareholding (B)= (B)(1)+(B)(2)	191628500	11269300	202897800	83.40	400617000	5178600	405795600	83.40	—
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
Grand Total (A+B+C)	232011300	11269300	243280600	100.00	481382600	5178600	486561200	100.00	—



(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	RRP Management Services (P) Ltd.	16815500	6.91	—	33631000	6.91	—	0.00
2	Prabhat Management Services (P) Ltd.	16253000	6.68	—	32506000	6.68	—	0.00
3	Rekha Modi	4320000	1.78	—	8640000	1.78	—	0.00
4	Gouri Shanker Modi	1104300	0.46	—	2208600	0.46	—	0.00
5	Raj Kumar Modi	810000	0.33	—	1620000	0.33	—	0.00
6	Puspa Devi Modi	810000	0.33	—	1620000	0.33	—	0.00
7	Raj Kumar Modi HUF	270000	0.11	—	540000	0.11	—	0.00
	Total	40382800	16.60	—	80765600	16.60	—	0.00

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (Specify if there is no change)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	RRP Management Services P Ltd.				
	At the beginning of the year	16815500	6.91	16815500	6.91
	By Issue of Bonus shares (1:1)	16815500	6.91	33631000	6.91
	At the end of the year	33631000	6.91	33631000	6.91
2	Prabhat Management Services P Ltd.				
	At the beginning of the year	16253000	6.68	16253000	6.68
	By Issue of Bonus shares (1:1)	16253000	6.68	32506000	6.68
	At the end of the year	32506000	6.68	32506000	6.68
3	Rekha Modi				
	At the beginning of the year	4320000	1.78	4320000	1.78
	By Issue of Bonus shares (1:1)	4320000	1.78	8640000	1.78
	At the end of the year	8640000	1.78	8640000	1.78
4	Gauri Shanker Modi				
	At the beginning of the year	1104300	0.46	1104300	0.46
	By Issue of Bonus shares (1:1)	1104300	0.46	2208600	0.46
	At the end of the year	2208600	0.46	2208600	0.46
5	Raj Kumar Modi				
	At the beginning of the year	810000	0.33	810000	0.33
	By Issue of Bonus shares (1:1)	810000	0.33	1620000	0.33
	At the end of the year	1620000	0.33	1620000	0.33



Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
6	Pushpa Devi Modi				
	At the beginning of the year	810000	0.33	810000	0.33
	By Issue of Bonus shares (1:1)	810000	0.33	1620000	0.33
	At the end of the year	1620000	0.33	1620000	0.33
7	Raj Kumar Modi HUF				
	At the beginning of the year	270000	0.11	270000	0.11
	By Issue of Bonus shares (1:1)	270000	0.11	540000	0.11
	At the end of the year	540000	0.11	540000	0.11

(iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	RAJESH DHASAYYAN	0	0.00	5618110	1.15
2	SUMAN CHATTERJI	0	0.00	4520093	0.93
3	KARVY STOCK BROKING LIMITED	8927	0.01	3672958	0.75
4	SHRI PARASRAM HOLDINGS PVT. LTD.	1276865	0.52	3337518	0.69
5	SHEETAL PUGALIA	0	0.00	3152341	0.65
6	HARSHULKUMAR ANILKANT SHAH	0	0.00	2400000	0.49
7	DEBABRATA PAUL	0	0.00	2300302	0.47
8	RAJIV GARG	1147500	0.47	2295000	0.47
9	SUSHIL GARG	1080000	0.44	2160000	0.44
10	REENA GARG	1080000	0.44	2160000	0.44



(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Mr. Raj Kumar Modi				
	At the beginning of the year	810000	0.33	810000	0.33
	By Issue of Bonus shares (1:1)	810000	0.33	1620000	0.33
	At the end of the year	1620000	0.33	1620000	0.33
2	Mrs. Rekha Modi				
	At the beginning of the year	4320000	1.78	4320000	1.78
	By Issue of Bonus shares (1:1)	4320000	1.78	8640000	1.78
	At the end of the year	8640000	1.78	8640000	1.78

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtness at the beginning of the financial year				
i) Principal Amount	—	390270797	—	390270797
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	22880552	—	22880552
Total (i+ii+iii)	—	413151349	—	413151349
Change in Indebtedness during the financial year				
Additions	—	515716104	—	515716104
Reduction	—	336503139	—	336503139
Net Change	—	179212965	—	179212965
Indebtedness at the end of the financial year				
i) Principal Amount	—	569483762	—	569483762
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	22880552	—	22880552
Total (i+ii+iii)	—	592364314	—	592364314



VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl. No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
1	Gross salary	Mr. R. K. Modi	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	24,00,000	24,00,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—
2	Stock option	—	—
3	Sweat Equity	—	—
4	Commission - as % of profit - others (specify)	— —	— —
5	Others, please specify	—	—
	Total (A)	24,00,000	24,00,000
	Ceiling as per the Act	—	—

B. Remuneration to other directors:

Sl. No	Particulars of Remuneration	Name of the Directors		Total Amount
1	Independent Directors	V. B. Aggarwal	Pramod Gupta	
	(a) Fee for attending board committee meetings	68,000	68,000	1,36,000
	(b) Commission	—	—	—
	(c) Others, please specify	—	—	—
	Total (1)	—	—	—
2	Other Non Executive Directors	Rekha Modi		
	(a) Fee for attending board committee meetings	—	—	
	(b) Commission	—	—	
	(c) Others, please specify	—	—	
	Total (2)	—	—	
	Total (B)=(1+2)	68,000	68,000	1,36,000
	Total Managerial Remuneration (A+B)			25,36,000
	Overall Ceiling as per the Act.	—	—	—

**C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD**

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO	Company Secretary	CFO	
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	—	3,41,067	2,04,075	5,45,142
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—	—	—
2	Stock Option	—	—	—	—
3	Sweat Equity	—	—	—	—
4	Commission				
	- as % of profit	—	—	—	—
	- others, specify	—	—	—	—
5	Others, please specify	—	—	—	—
	Total	—	3,41,067	2,04,075	5,45,142

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court) details)	Appeal made if any (give
A. COMPANY					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
B. DIRECTORS					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
C. OTHER OFFICERS IN DEFAULT					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—