

PMC Fincorp Limited

CIN: L27109UP1985PLC006998

Regd. Office: B-10, VIP Colony, Civil Lines, Rampur - 244901 (U.P.)

Corp. Office: 14/5, Old Rajender Nagar, New Delhi - 110060

Tel. No. : 011-42436846, E-mail Id: pritimercantile@gmail.com, Website : www.pmcfinance.in

Annexure - III To Directors Reports

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED ON 31.03.2021

Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS :

i	CIN	L27109UP1985PLC006998
ii	Registration Date	04-02-1985
iii	Name of the Company	PMC FINCORP LIMITED
iv	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES
v	Address of the Registered office & Contact details	B-10, VIP COLONY, CIVIL LINES, RAMPUR - 244901, UTTAR PRADESH
vi	Whether Listed Company	BSE LIMITED
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	INDUS PORTFOLIO PRIVATE LIMITED G-65, BALI NAGAR, NEW DELHI-110005 PHONE: 011 - 47671214/47671211 EMAIL ID: rs.kushwaha@indusinvest.com

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Company is engaged in to the activity of financing and registered as a Non Banking Financial Company with the Reserve Bank of India.

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associates	% of Shares held	Applicable Section
N.A.					

IV SHAREHOLDING PATTERN (Equity Share Capital Breakup as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	14628600	—	14628600	2.87	14628600	—	14628600	2.87	—
b) Central Govt. or State Govt.	—	—	—	—	—	—	—	—	—
c) Bodies Corporates	88637000	—	88637000	17.41	88637000	—	88637000	17.41	—
d) Bank/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUB TOTAL: (A) (1)	103265600	—	103265600	20.28	103265600	—	103265600	20.29	—

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Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(2) Foreign									
a) NRI- Individuals	—	—	—	—	—	—	—	—	—
b) Other Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUB TOTAL (A) (2)	—	—	—	—	—	—	—	—	—
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)"	103265600	—	103265600	20.28	103265600	—	103265600	20.29	—
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	—	—	—	—	—	—	—	—	—
b) Banks/FI	—	—	—	—	—	—	—	—	—
c) Cenntal govt	—	—	—	—	—	—	—	—	—
d) State Govt.	—	—	—	—	—	—	—	—	—
e) Venture Capital Fund	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	—	—	—	—	—	—	—	—
g) Foreign Institutional Investors	—	—	—	—	—	—	—	—	—
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
SUB TOTAL (B)(1):	—	—	—	—	—	—	—	—	—
(2) Non Institutions									
a) Bodies corporates	4341484	—	4341484	0.85	4631261	—	4631261	0.91	0.06
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital upto Rs.2 lakhs	187628137	1938654	189566791	37.24	217706826	1938654	219645480	43.15	5.91
ii) Individuals shareholders holding nominal share capital in excess of Rs. 2 lakhs	182433561	3240000	185673561	36.47	159785232	3240000	163025232	32.02	-4.45
c) Others-NRI	25477537	—	25477537	5.00	16909061	—	16909061	3.32	-1.68
(c-i) Clearing Member	736227	—	736227	0.14	1584566	—	1584566	0.31	0.17
(c-ii) Clearing House	—	—	—	—	—	—	—	—	—
(c-iii) Trust	—	—	—	—	—	—	—	—	—
SUB TOTAL (B)(2):	400616946	5178600	405795600	79.71	400616946	5178600	405795600	79.71	—
Total Public Shareholding (B)= (B)(1)+(B)(2)	400616946	5178600	405795600	79.71	400616946	5178600	405795600	79.71	—
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
Grand Total (A+B+C)	503882546	5178600	509061200	100.00	503882546	5178600	509061200	100.00	—

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(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	RRP Management Services (P) Ltd.	44881000	8.82	—	44881000	8.82	—	—
2	Prabhat Management Services (P) Ltd.	43756000	8.60	—	43756000	8.60	—	—
3	Rekha Modi	8640000	1.70	—	8640000	1.70	—	—
4	Raj Kumar Modi	1620000	0.32	—	1620000	0.32	—	—
5	Puspa Devi Modi	3828600	0.75	—	3828600	0.75	—	—
6	Raj Kumar Modi HUF	540000	0.11	—	540000	0.11	—	—
	Total	103265600	20.29	—	103265600	20.29	—	—

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (Specify if there is no change)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	RRP Management Services P Ltd.				
	At the beginning of the year	44881000	8.82	44881000	8.82
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	44881000	8.82	44881000	8.82
2	Prabhat Management Services P Ltd.				
	At the beginning of the year	43756000	8.60	43756000	8.60
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	43756000	8.60	43756000	8.60
3	Rekha Modi				
	At the beginning of the year	8640000	1.70	8640000	1.70
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	8640000	1.70	8640000	1.70
4	Raj Kumar Modi				
	At the beginning of the year	1620000	0.32	1620000	0.32
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	1620000	0.32	1620000	0.32

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Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
5	Pushpa Devi Modi				
	At the beginning of the year	3828600	0.75	3828600	0.75
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	3828600	0.75	3828600	0.75
6	Raj Kumar Modi HUF				
	At the beginning of the year	540000	0.11	540000	0.11
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	540000	0.11	540000	0.11

(iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of Total Shares of the Company	No of Shares	% of Total Shares of the Company
1	NAGARAJU KALIMISHETTY	6078736	1.19	15301403	3.01
2	SHIVAKRISHNA V. NARKATPALLY	11323871	2.22	11913939	2.34
3	SUMAN CHATTERJI	4520093	0.89	4520093	0.89
4	JESSICA DAS	4155300	0.82	4155300	0.82
5	MANOJ KUMAR	4100000	0.81	4100000	0.81
6	RUSHIN KIRITASHER	3032520	0.60	3032520	0.60
7	DEVANG VERUGAMWALA	3000000	0.59	3001000	0.59
8	SHOBHANABEN DEVANGKUMAR VERUGAMWALA	3000000	0.59	3001000	0.59
9	MANOJ V	2614154	0.51	2614154	0.51
10	DEBADATTA PATTANAIK	2421000	0.48	2421000	0.48

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(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Mr. Raj Kumar Modi				
	At the beginning of the year	1620000	0.32	1620000	0.32
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	1620000	0.32	1620000	0.32
2	Mrs. Rekha Modi				
	At the beginning of the year	8640000	1.70	8640000	1.70
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	8640000	1.70	8640000	1.70

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	—	101307050	—	101307050
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	8561117	—	8561117
Total (i+ii+iii)	—	109868167	—	109868167
Change in Indebtedness during the financial year				
Additions	—	95152474	—	95152474
Reduction	—	134398636	—	134398636
Net Change	—	(39246162)	—	(39246162)
Indebtedness at the end of the financial year				
i) Principal Amount	—	62060888	—	62060888
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	6452474	—	6452474
Total (i+ii+iii)	—	68513362	—	68513362

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VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole time director and/or Manager:

Sl. No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
1	Gross salary	Raj Kumar Modi	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	6,00,000	6,00,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—
2	Stock option	—	—
3	Sweat Equity	—	—
4	Commission - as % of profit - others (specify)	— —	— —
5	Others, please specify	—	—
	Total (A)	6,00,000	6,00,000
	Ceiling as per the Act	—	—

B. Remuneration to other Directors:

Sl. No	Particulars of Remuneration	Name of the Directors			Total Amount
1	Independent Directors	Mahavir Prasad Garg	Pramod* Gupta	Yogesh** Kumar Garg	
	(a) Fee for attending board committee meetings	60,000	10,000	50,000	1,20,000
	(b) Commission	—	—	—	—
	(c) Others, please specify	—	—	—	—
	Total (1)	60,000	10,000	50,000	1,20,000
2	Other Non Executive Directors	Rekha Modi			
	(a) Fee for attending board committee meetings	—			
	(b) Commission	—			
	(c) Others, please specify	—			
	Total (2)	—			
	Total (B) = (1+2)	60,000	10,000	50,000	1,20,000
	Total Managerial Remuneration (A+B)				8,20,000
	Overall Cieling as per the Act.	—	—	—	—

* Mr. Pramod Gupta was resigned as a Director w.e.f. July 28, 2020

** Mr. Yogesh Kumar Garg was appointed as a Director w.e.f. July 28, 2020

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C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO	Company Secretary	CFO	
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	—	3,00,000	2,16,775	5,16,775
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—	—	—
2	Stock Option	—	—	—	—
3	Sweat Equity	—	—	—	—
4	Commission				
	- as % of profit	—	—	—	—
	- others, specify	—	—	—	—
5	Others, please specify	—	—	—	—
	Total	—	3,00,000	2,16,775	5,16,775

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court) details)	Appeal made if any (give
A. COMPANY					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
B. DIRECTORS					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
C. OTHER OFFICERS IN DEFAULT					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—