



Annexure - III To Directors Reports

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED ON 31.03.2017

Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS :

i	CIN	L27109UP1985PLC006998
ii	Registration Date	04-02-1985
iii	Name of the Company	PMC FINCORP LIMITED
iv	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES
v	Address of the Registered office & Contact details	B-10, VIP COLONY, CIVIL LINES, RAMPUR-244901, UTTAR PRADESH
vi	Whether Listed Company	BSE LIMITED
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	INDUS PORTFOLIO PRIVATE LIMITED G-65, BALI NAGAR, NEW DELHI-110005 PHONE: 011 - 47671214 EMAIL ID: cs.anamika@indusinvest.com

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Company is engaged in to the activity of financing and registered as a Non Banking Financial Company with the Reserve Bank of India.

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associates	% of Shares held	Applicable Section
N.A.					

IV SHAREHOLDING PATTERN (Equity Share Capital Breakup as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2016				No. of Shares held at the end of the year 31.03.2017				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	7314300	—	7314300	3.01	14628600	—	14628600	3.01	—
b) Central Govt. or State Govt.	—	—	—	—	—	—	—	—	—
c) Bodies Corporates	33068500	—	33068500	13.59	66137000	—	66137000	13.59	—
d) Bank/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUB TOTAL:(A) (1)	40382800	—	40382800	16.60	80765600	—	80765600	16.60	—



Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2016				No. of Shares held at the end of the year 31.03.2017				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
(2) Foreign									
a) NRI- Individuals	—	—	—	—	—	—	—	—	—
b) Other Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
SUBTOTAL (A) (2)	—	—	—	—	—	—	—	—	—
Total Shareholding of Promoter (A)= (A)(1)+(A)(2)"	40382800	—	40382800	16.60	80765600	—	80765600	16.60	—
B. PUBLIC SHAREHOLDING									
(1) Institutions									
a) Mutual Funds	—	—	—	—	—	—	—	—	—
b) Banks/FI	—	—	—	—	—	—	—	—	—
C) Cenntal govt	—	—	—	—	—	—	—	—	—
d) State Govt.	—	—	—	—	—	—	—	—	—
e) Venture Capital Fund	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	—	—	—	—	—	—	—	—
g) Foreign Institutional Investors	—	—	—	—	—	—	—	—	—
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
SUBTOTAL (B)(1):	—	—	—	—	—	—	—	—	—
(2) Non Institutions									
a) Bodies corporates	18725311	—	18725311	3.85	13568390	—	13568390	2.79	-1.06
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital upto Rs. 1 lakhs	209282880	1938600	211221480	43.41	213296115	1938600	215234715	44.24	0.83
ii) Individuals shareholders holding nominal share capital in excess of Rs. 1 lakhs	135482889	3240000	138722889	28.51	137806072	3240000	141046072	28.99	0.48
c) Others-NRI	26042753	—	26042753	5.35	26601679	—	26601679	5.47	0.12
(c-i) Clearing Member)	2778487	—	2778487	0.57	2137660	—	2137660	0.44	-0.13
(c-ii) Clearing House	8304680	—	8304680	1.71	7207084	—	7207084	1.48	-0.23
(c-iii) Trust	—	—	—	—	—	—	—	—	—
SUBTOTAL (B)(2):	400617000	5178600	405795600	83.40	400617000	5178600	405795600	83.40	—
Total Public Shareholding (B)= (B)(1)+(B)(2)	400617000	5178600	405795600	83.40	400617000	5178600	405795600	83.40	—
C. Shares held by Custodian for GDRs & ADRs	—	—	—	—	—	—	—	—	—
Grand Total (A+B+C)	481382600	5178600	486561200	100.00	481382600	5178600	486561200	100.00	—



(ii) SHARE HOLDING OF PROMOTERS

SI No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	RRP Management Services (P) Ltd.	33631000	6.91	—	33631000	6.91	—	0.00
2	Prabhat Management Services (P) Ltd.	32506000	6.68	—	32506000	6.68	—	0.00
3	Rekha Modi	8640000	1.78	—	8640000	1.78	—	0.00
4	Gouri Shanker Modi	2208600	0.46	—	2208600	0.46	—	0.00
5	Raj Kumar Modi	1620000	0.33	—	1620000	0.33	—	0.00
6	Puspa Devi Modi	1620000	0.33	—	1620000	0.33	—	0.00
7	Raj Kumar Modi HUF	540000	0.11	—	540000	0.11	—	0.00
	Total	80765600	16.60	—	80765600	16.60	—	0.00

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (Specify if there is no change)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	RRP Management Services P Ltd.				
	At the beginning of the year	33631000	6.91	33631000	6.91
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	33631000	6.91	33631000	6.91
2	Prabhat Management Services P Ltd.				
	At the beginning of the year	32506000	6.68	32506000	6.68
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	32506000	6.68	32506000	6.68
3	Rekha Modi				
	At the beginning of the year	8640000	1.78	8640000	1.78
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	8640000	1.78	8640000	1.78
4	Gauri Shanker Modi				
	At the beginning of the year	2208600	0.46	2208600	0.46
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	2208600	0.46	2208600	0.46



Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
5	Raj Kumar Modi				
	At the beginning of the year	1620000	0.33	1620000	0.33
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	1620000	0.33	1620000	0.33
6	Pushpa Devi Modi				
	At the beginning of the year	1620000	0.33	1620000	0.33
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	1620000	0.33	1620000	0.33
7	Raj Kumar Modi HUF				
	At the beginning of the year	540000	0.11	540000	0.11
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	540000	0.11	540000	0.11

(iv) Shareholding Pattern of top ten Shareholders (Other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of Total Shares of the Company	No of Shares	% of Total Shares of the Company
1	RAJESH DHASAYYAN	5618110	1.15	6337035	1.30
2	SUMAN CHATTERJI	4520093	0.93	4520093	0.93
3	MANOJ V	598406	0.12	3999707	0.82
4	KARVY STOCK BROKING LIMITED	3672958	0.75	3691128	0.76
5	RUSHIN KIRIT ASHER	2100000	0.43	3032520	0.62
6	SATISH DATTATRAYA KADAM	1095509	0.23	2800000	0.58
7	SHRI PARASRAM HOLDINGS PVT. LTD.	3337518	0.69	2739278	0.56
8	DEVANG VERUGAMWALA	1817702	0.37	2600000	0.53
9	SHOBHANABEN DEVANGKUMAR	1891989	0.39	2600000	0.53
10	DEBADATTA PATTANAİK	1754333	0.36	2421000	0.50



(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	Mr. Raj Kumar Modi				
	At the beginning of the year	1620000	0.33	1620000	0.33
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	1620000	0.33	1620000	0.33
2	Mrs. Rekha Modi				
	At the beginning of the year	8640000	1.78	8640000	1.78
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	At the end of the year	8640000	1.78	8640000	1.78

V INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	—	569483762	—	569483762
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	22880552	—	22880552
Total (i+ii+iii)	—	592364314	—	592364314
Change in Indebtedness during the financial year				
Additions	—	397702516	—	397702516
Reduction	—	460660853	—	460660853
Net Change	—	(62958337)	—	(62958337)
Indebtedness at the end of the financial year				
i) Principal Amount	—	506525425	—	506525425
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	35301258	—	35301258
Total (i+ii+iii)	—	541826683	—	541826683

**VI REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL****A. Remuneration to Managing Director, Whole time director and/or Manager:**

Sl. No	Particulars of Remuneration	Name of the MD/WTD/Manager	Total Amount
1	Gross salary	Mr. R. K. Modi	
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	24,00,000	24,00,000
	(b) Value of perquisites u/s 17(2) of the Income tax Act, 1961	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—
2	Stock option	—	—
3	Sweat Equity	—	—
4	Commission - as % of profit - others (specify)	— —	— —
5	Others, please specify	—	—
	Total (A)	24,00,000	24,00,000
	Ceiling as per the Act	—	—

B. Remuneration to other directors:

Sl. No	Particulars of Remuneration	Name of the Directors		Total Amount
1	Independent Directors	V. B. Aggarwal	Pramod Gupta	
	(a) Fee for attending board committee meetings	62,500	62,500	1,25,000
	(b) Commission	—	—	—
	(c) Others, please specify	—	—	—
	Total (1)	—	—	—
2	Other Non Executive Directors	Rekha Modi		
	(a) Fee for attending board committee meetings	—	—	
	(b) Commission	—	—	
	(c) Others, please specify	—	—	
	Total (2)	—	—	
	Total (B)=(1+2)	62,500	62,500	1,25,000
	Total Managerial Remuneration (A+B)			25,25,000
	Overall Ceiling as per the Act.	—	—	—



C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SI. No.	Particulars of Remuneration	Key Managerial Personnel			Total
		CEO	Company Secretary	CFO	
1	Gross Salary				
	(a) Salary as per provisions contained in section 17(1) of the Income Tax Act, 1961.	—	3,05,372	2,16,000	5,21,372
	(b) Value of perquisites u/s 17(2) of the Income Tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income Tax Act, 1961	—	—	—	—
2	Stock Option	—	—	—	—
3	Sweat Equity	—	—	—	—
4	Commission				
	- as % of profit	—	—	—	—
	- others, specify	—	—	—	—
5	Others, please specify	—	—	—	—
	Total	—	3,05,372	2,16,000	5,21,372

VII PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty/ Punishment/ Compounding fees imposed	Authority (RD/NCLT/ Court) details)	Appeal made if any (give
A. COMPANY					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
B. DIRECTORS					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—
C. OTHER OFFICERS IN DEFAULT					
Penalty	—	—	—	—	—
Punishment	—	—	—	—	—
Compounding	—	—	—	—	—