



Annexure - III To Directors Reports

FORM NO. MGT 9

EXTRACT OF ANNUAL RETURN

AS ON FINANCIAL YEAR ENDED ON 31.03.2021

Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I REGISTRATION & OTHER DETAILS :

i	CIN	L27109UP1985PLC006998
ii	Registration Date	04-02-1985
iii	Name of the Company	PMC FINCORP LIMITED
iv	Category/Sub-category of the Company	COMPANY LIMITED BY SHARES
v	Address of the Registered office & Contact details	B-10, VIP COLONY, CIVIL LINES, RAMPUR - 244901, UTTAR PRADESH
vi	Whether Listed Company	BSE LIMITED
vii	Name, Address & contact details of the Registrar & Transfer Agent, if any.	INDUS PORTFOLIO PRIVATE LIMITED G-65, BALI NAGAR, NEW DELHI-110005 PHONE: 011 - 47671214/47671211 EMAIL ID: rs.kushwaha@indusinvest.com

II PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

Company is engaged in to the activity of financing and registered as a Non Banking Financial Company with the Reserve Bank of India.

III PARTICULARS OF HOLDING, SUBSIDIARY & ASSOCIATE COMPANIES

Sl. No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associates	% of Shares held	Applicable Section
N.A.					

IV SHAREHOLDING PATTERN (Equity Share Capital Breakup as % to total Equity)

Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
<b>(1) Indian</b>									
a) Individual/HUF	14628600	—	14628600	2.87	14628600	—	14628600	2.87	—
b) Central Govt. or State Govt.	—	—	—	—	—	—	—	—	—
c) Bodies Corporates	88637000	—	88637000	17.41	88637000	—	88637000	17.41	—
d) Bank/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
<b>SUB TOTAL: (A) (1)</b>	<b>103265600</b>	<b>—</b>	<b>103265600</b>	<b>20.28</b>	<b>103265600</b>	<b>—</b>	<b>103265600</b>	<b>20.29</b>	<b>—</b>



Category of Shareholders	No. of Shares held at the beginning of the year 01.04.2020				No. of Shares held at the end of the year 31.03.2021				% change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>(2) Foreign</b>									
a) NRI- Individuals	—	—	—	—	—	—	—	—	—
b) Other Individuals	—	—	—	—	—	—	—	—	—
c) Bodies Corp.	—	—	—	—	—	—	—	—	—
d) Banks/FI	—	—	—	—	—	—	—	—	—
e) Any other	—	—	—	—	—	—	—	—	—
<b>SUB TOTAL (A) (2)</b>	—	—	—	—	—	—	—	—	—
<b>Total Shareholding of Promoter (A)=(A)(1)+(A)(2)"</b>	<b>103265600</b>	—	<b>103265600</b>	<b>20.28</b>	<b>103265600</b>	—	<b>103265600</b>	<b>20.29</b>	—
<b>B. PUBLIC SHAREHOLDING</b>									
<b>(1) Institutions</b>									
a) Mutual Funds	—	—	—	—	—	—	—	—	—
b) Banks/FI	—	—	—	—	—	—	—	—	—
C) Cenntal govt	—	—	—	—	—	—	—	—	—
d) State Govt.	—	—	—	—	—	—	—	—	—
e) Venture Capital Fund	—	—	—	—	—	—	—	—	—
f) Insurance Companies	—	—	—	—	—	—	—	—	—
g) Foreign Institutional Investors	—	—	—	—	—	—	—	—	—
h) Foreign Venture Capital Funds	—	—	—	—	—	—	—	—	—
i) Others (specify)	—	—	—	—	—	—	—	—	—
<b>SUB TOTAL (B)(1):</b>	—	—	—	—	—	—	—	—	—
<b>(2) Non Institutions</b>									
a) Bodies corporates	4341484	—	4341484	0.85	4631261	—	4631261	0.91	0.06
i) Indian	—	—	—	—	—	—	—	—	—
ii) Overseas	—	—	—	—	—	—	—	—	—
b) Individuals	—	—	—	—	—	—	—	—	—
i) Individual shareholders holding nominal share capital upto Rs.2 lakhs	187628137	1938654	189566791	37.24	217706826	1938654	219645480	43.15	5.91
ii) Individuals shareholders holding nominal share capital in excess of Rs. 2 lakhs	182433561	3240000	185673561	36.47	159785232	3240000	163025232	32.02	-4.45
c) Others-NRI	25477537	—	25477537	5.00	16909061	—	16909061	3.32	-1.68
(c-i) Clearing Member	736227	—	736227	0.14	1584566	—	1584566	0.31	0.17
(c-ii) Clearing House	—	—	—	—	—	—	—	—	—
(c-iii) Trust	—	—	—	—	—	—	—	—	—
<b>SUB TOTAL (B)(2):</b>	<b>400616946</b>	<b>5178600</b>	<b>405795600</b>	<b>79.71</b>	<b>400616946</b>	<b>5178600</b>	<b>405795600</b>	<b>79.71</b>	—
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>400616946</b>	<b>5178600</b>	<b>405795600</b>	<b>79.71</b>	<b>400616946</b>	<b>5178600</b>	<b>405795600</b>	<b>79.71</b>	—
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	—	—	—	—	—	—	—	—	—
<b>Grand Total (A+B+C)</b>	<b>503882546</b>	<b>5178600</b>	<b>509061200</b>	<b>100.00</b>	<b>503882546</b>	<b>5178600</b>	<b>509061200</b>	<b>100.00</b>	—



(ii) SHARE HOLDING OF PROMOTERS

Sl No.	Shareholders Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in share holding during the year
		No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	No of shares	% of total shares of the company	% of shares pledged encumbered to total shares	
1	RRP Management Services (P) Ltd.	44881000	8.82	—	44881000	8.82	—	—
2	Prabhat Management Services (P) Ltd.	43756000	8.60	—	43756000	8.60	—	—
3	Rekha Modi	8640000	1.70	—	8640000	1.70	—	—
4	Raj Kumar Modi	1620000	0.32	—	1620000	0.32	—	—
5	Puspa Devi Modi	3828600	0.75	—	3828600	0.75	—	—
6	Raj Kumar Modi HUF	540000	0.11	—	540000	0.11	—	—
	<b>Total</b>	<b>103265600</b>	<b>20.29</b>	<b>—</b>	<b>103265600</b>	<b>20.29</b>	<b>—</b>	<b>—</b>

(iii) CHANGE IN PROMOTERS' SHAREHOLDING (Specify if there is no change)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
1	<b>RRP Management Services P Ltd.</b>				
	<b>At the beginning of the year</b>	<b>44881000</b>	<b>8.82</b>	<b>44881000</b>	<b>8.82</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>44881000</b>	<b>8.82</b>	<b>44881000</b>	<b>8.82</b>
2	<b>Prabhat Management Services P Ltd.</b>				
	<b>At the beginning of the year</b>	<b>43756000</b>	<b>8.60</b>	<b>43756000</b>	<b>8.60</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>43756000</b>	<b>8.60</b>	<b>43756000</b>	<b>8.60</b>
3	<b>Rekha Modi</b>				
	<b>At the beginning of the year</b>	<b>8640000</b>	<b>1.70</b>	<b>8640000</b>	<b>1.70</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>8640000</b>	<b>1.70</b>	<b>8640000</b>	<b>1.70</b>
4	<b>Raj Kumar Modi</b>				
	<b>At the beginning of the year</b>	<b>1620000</b>	<b>0.32</b>	<b>1620000</b>	<b>0.32</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>1620000</b>	<b>0.32</b>	<b>1620000</b>	<b>0.32</b>



Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
5	<b>Pushpa Devi Modi</b>				
	<b>At the beginning of the year</b>	<b>3828600</b>	<b>0.75</b>	<b>3828600</b>	<b>0.75</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>3828600</b>	<b>0.75</b>	<b>3828600</b>	<b>0.75</b>
6	<b>Raj Kumar Modi HUF</b>				
	<b>At the beginning of the year</b>	<b>540000</b>	<b>0.11</b>	<b>540000</b>	<b>0.11</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>540000</b>	<b>0.11</b>	<b>540000</b>	<b>0.11</b>

(iv) **Shareholding Pattern of top ten Shareholders** (Other than Directors, Promoters & Holders of GDRs & ADRs)

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of Total Shares of the Company	No of Shares	% of Total Shares of the Company
1	NAGARAJU KALIMISHETTY	6078736	1.19	15301403	3.01
2	SHIVAKRISHNA V. NARKATPALLY	11323871	2.22	11913939	2.34
3	SUMAN CHATTERJI	4520093	0.89	4520093	0.89
4	JESSICA DAS	4155300	0.82	4155300	0.82
5	MANOJ KUMAR	4100000	0.81	4100000	0.81
6	RUSHIN KIRIT ASHER	3032520	0.60	3032520	0.60
7	DEVANG VERUGAMWALA	3000000	0.59	3001000	0.59
8	SHOBHANABEN DEVANGKUMAR VERUGAMWALA	3000000	0.59	3001000	0.59
9	MANOJ V	2614154	0.51	2614154	0.51
10	DEBADATTA PATTANAIK	2421000	0.48	2421000	0.48



(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Share holding at the beginning of the Year		Cumulative Share holding during the year	
		No. of Shares	% of total shares of the company	No of shares	% of total shares of the company
<b>1</b>	<b>Mr. Raj Kumar Modi</b>				
	<b>At the beginning of the year</b>	<b>1620000</b>	<b>0.32</b>	<b>1620000</b>	<b>0.32</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>1620000</b>	<b>0.32</b>	<b>1620000</b>	<b>0.32</b>
<b>2</b>	<b>Mrs. Rekha Modi</b>				
	<b>At the beginning of the year</b>	<b>8640000</b>	<b>1.70</b>	<b>8640000</b>	<b>1.70</b>
	Date wise Increase / Decrease in Shareholding during the year specifying the reasons for increase / decrease.	—	—	—	—
	<b>At the end of the year</b>	<b>8640000</b>	<b>1.70</b>	<b>8640000</b>	<b>1.70</b>

**V INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	—	101307050	—	<b>101307050</b>
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	8561117	—	<b>8561117</b>
<b>Total (i+ii+iii)</b>	<b>—</b>	<b>109868167</b>	<b>—</b>	<b>109868167</b>
<b>Change in Indebtedness during the financial year</b>				
Additions	—	95152474	—	<b>95152474</b>
Reduction	—	134398636	—	<b>134398636</b>
<b>Net Change</b>	<b>—</b>	<b>(39246162)</b>	<b>—</b>	<b>(39246162)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	—	62060888	—	<b>62060888</b>
ii) Interest due but not paid	—	—	—	—
iii) Interest accrued but not due	—	6452474	—	<b>6452474</b>
<b>Total (i+ii+iii)</b>	<b>—</b>	<b>68513362</b>	<b>—</b>	<b>68513362</b>